



寶新金融

GLORY SUN FINANCIAL

寶新證券有限公司 (中央編號 CE No. AEZ062)
GLORY SUN SECURITIES LIMITED

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Appendix 1. Discretionary Trading/Discretionary Asset Management Service Application

附件一. 全權委託交易/全權資產管理服務申請

Client Name 客戶姓名: _____

1. Discretionary Account Service 委託帳戶服務 (Please select the service required) (請選擇所需服務)			
A. Service Required 所需服務			
<input type="checkbox"/> Asset Management Service 資產管理服務 Or 或 <input type="checkbox"/> Discretionary Trading Service 全權委託交易服務		<input type="checkbox"/> Securities (Cash) Account 證券現金帳戶 Account No. 帳戶號碼: _____ <input type="checkbox"/> Securities (Margin) Account 證券保證金帳戶 Account No. 帳戶號碼: _____ <input type="checkbox"/> Futures Contracts Account* 期貨帳戶* Account No. 帳戶號碼: _____	
<input type="checkbox"/> *I/We hereby authorize GSSL to conduct the following types of transaction for Futures Contracts Discretionary Account: *本人 / 吾等謹此授權寶新為期貨全權委託帳戶進行以下類別之交易: <input type="checkbox"/> accept, carry or initiate on behalf of the Discretionary Account more than two day trades in any market 代表本全權委託帳戶接納、處理或展開在任何市場內所進行的超過兩宗即日平倉買賣 <input type="checkbox"/> open short options positions in the Discretionary Account 在本全權委託帳戶內開立期權短倉持倉			
B. Custody Services 保管服務 (Choose either one 請選其中一項)		<input type="checkbox"/> GSSL Only 只有寶新; <input type="checkbox"/> Having Third Party Custodian (please complete Section 7) 有第三方保管人 (請填妥第7部分)	
C. Effective Date 生效日期 _____ year 年 _____ month 月 _____ day 日			
D. GSSL's Authorized Representative 寶新授權代表			
<input type="checkbox"/> Name 姓名: _____ (CE No. 中央編號: _____) (The Authorized Representative is an employee or licensed representative of Glory Sun Securities Limited. 授權代表為寶新證券有限公司的僱員或持牌代表。)			
Or 或			
<input type="checkbox"/> Any employee or representative of GSSL as appointed by GSSL from time to time. 任何不時獲寶新指派的其僱員或授權代表。			
2. Financial Background 財務背景 (Please tick appropriate box. 請在適當空格加“✓”)			
The value should be stated in Hong Kong dollars (HK\$) 價值應以港幣填寫 (HK\$)			
<input type="checkbox"/> Cash Value 現金價值: _____		<input type="checkbox"/> Stocks Value 股票價值: _____	
<input type="checkbox"/> Bonds Value 債券價值: _____		<input type="checkbox"/> Unit Trusts/Mutual Funds Value 單位信託/互惠基金價值: _____	
<input type="checkbox"/> Property Value 物業價值: _____		<input type="checkbox"/> Own 自置 <input type="checkbox"/> Mortgaged 有按揭 <input type="checkbox"/> No Mortgage 無按揭 <input type="checkbox"/> Rented 租賃 <input type="checkbox"/> Quarters 宿舍 <input type="checkbox"/> Family Property 家族物業	
<input type="checkbox"/> Other Products Value 其他產品價值:			
<input type="checkbox"/> Other Investment Products (please specify) 其他投資產品(請列明): _____		Value 價值: _____	
<input type="checkbox"/> Other Derivative Products (please specify) 其他衍生產品(請列明): _____		Value 價值: _____	
3. Source of Funds 資金來源 (Can choose more than one categories 可選多於一項)			
Additional supporting documents may be required. 如有需要會因應公司要求而遞交相關文件。			
<input type="checkbox"/> Salary 薪金 <input type="checkbox"/> Investment Profit 投資利潤 <input type="checkbox"/> Pension 退休金 <input type="checkbox"/> Sale of Assets / Property 出售資產/物業 <input type="checkbox"/> Inheritance 遺產繼承 <input type="checkbox"/> Business Profit 商業利潤 <input type="checkbox"/> Others 其他: _____			

4. Investment Guidelines and Restrictions 投資指引及限制			
A. Market Restriction 市場限制 <i>(If you do not wish to invest in specific market(s), please tick the appropriate box below. 如閣下不希望投資予特定市場，閣下可於以下適當方格加上"✓"號。)</i>			
<input type="checkbox"/> No Restriction 沒有限制	<input type="checkbox"/> Main Board 主板	<input type="checkbox"/> GEM 創業板	<input type="checkbox"/> Stock Connect Northbound Trading 滬深港北向交易
<input type="checkbox"/> Others 其他: _____			
B. Product Restriction 產品限制 <i>(If you do not wish to invest in specific market(s), please tick the appropriate box below. 如閣下不希望投資予特定市場，閣下可於以下適當方格加上"✓"號。)</i>			
<input type="checkbox"/> No Restriction 沒有限制	<input type="checkbox"/> Stocks 股票	<input type="checkbox"/> Warrant/CBBC 窩輪/牛熊證	<input type="checkbox"/> ELI/ ELN 股票掛鈎工具/票據
<input type="checkbox"/> Stock Options 股票期權	<input type="checkbox"/> Bonds 債券	<input type="checkbox"/> Futures Contracts 期貨	<input type="checkbox"/> Exchange Traded Funds 交易所買賣基金
<input type="checkbox"/> IPO 認購新股	<input type="checkbox"/> Real Estate Investment Trusts 房地產投資信託	<input type="checkbox"/> Investment Fund 投資基金	
<input type="checkbox"/> Leveraged FX 槓桿式外匯 <input type="checkbox"/> Others (please specify) 其他 (請列明): _____			
C. Trading Restrictions 交易限制			
<u>Credit Limit/Loan Amount 借貸額/貸款額</u>	<u>Amount 額度 (HK\$)</u>		
1. The maximum credit limit applicable to securities margin 證券保證金最高借貸額為 _____	or 或	<input type="checkbox"/> No Restriction 沒有限制	
2. The maximum loan amount of financing for IPO subscription 認購新股融資最高貸款額為 _____	or 或	<input type="checkbox"/> No Restriction 沒有限制	
Other trading restrictions as specified below [#] (if any) 在以下指明的其他交易限制 [#] (如有) <i>(If there is insufficient space, please attach a separate sheet(s)). (如空位不足，請另紙書寫):</i>			
[#] The investment guidelines and restrictions above apply at the time of purchase only, and failure to comply with any specific guideline or restriction contained herein because of market fluctuation, changes in the capital structure of any Portfolio company, ratings agency or credit ratings changes or withdrawals or other events outside of GSSL's control will not be deemed a breach of these guidelines or the Client Agreement. Any investment not permitted by this Section may be permitted by written consent of the Client. 以上投資指引及限制只適用於買入時。因市場波動、投資公司資本結構改動、評級機構或信用評級改動或撤銷或其他處於實新的控制之外的事情，導致不能遵守此處的任何特定指引或限制，不應被視作違反本指引或客戶協議。任何本投資指引不允許的投資可由客戶另紙同意。			
5. Investment Mandate 投資委託 (Applicable to Asset Management Service 適用於資產管理服務)			
A. Investment Objective 投資目標			
<input type="checkbox"/> Capital Preservation 資產保值	<input type="checkbox"/> Income Oriented 收入為本	<input type="checkbox"/> Balanced Growth 均衡增長	<input type="checkbox"/> High Growth 高速增長
B. Risk Profile of Target Portfolio 目標投資組合的風險程度 The risk profile should match with the risk tolerance level assessed in the latest RPQ. 風險程度應與最近風險取向問卷所得之風險承受程度匹配。			
<input type="checkbox"/> Low 低	<input type="checkbox"/> Low-to-medium 低至中	<input type="checkbox"/> Medium 中	<input type="checkbox"/> Medium-to-high 中至高 <input type="checkbox"/> High 高
C. Geographic Spread 地域分布			
<input type="checkbox"/> Hong Kong 香港	<input type="checkbox"/> Americas 美洲	<input type="checkbox"/> Asia Pacific 亞太地區	<input type="checkbox"/> Europe 歐洲 <input type="checkbox"/> Global 環球
<input type="checkbox"/> Others 其他: _____			
D. Performance Benchmark (if any) 表現基準 (如有): <i>(If there is insufficient space, please attach a separate sheet(s)). (如空位不足，請另紙書寫):</i>			
E. Investment Amount (if applicable) 投資金額 (如適用)			
1. Initial Investment Amount 初始投資額 (HK\$): _____			
2. Minimum Holding Amount 最低持有金額 (HK\$): _____			
3. Minimum Additional Amount 最低額外投資額 (HK\$): _____			

F. Asset Allocation of Portfolio 投資組合的資產分配

The asset allocation parameters of the portfolio are identified as follows, Glory Sun Securities Limited shall, subject to limitations imposed and perform investment accordingly 實新會因應以下投資組合的資產分配參數之限制進行投資

Asset Class 資產類別	Maximum Exposure* (%) (Percentage of Portfolio) 投資上限 (%) (佔該投資組合的百分率)	Client's signature is required to confirm if the maximum exposure equal to or above 30% of the portfolio 如投資上限為投資組合的30%或以上，客戶須簽署確認
Equities 股票: including but not limited to stocks, shares, options, warrants, IPO Subscription 包括(但不限於)股票、股份、期權、權證、新股認購		Not Applicable 不適用
Fixed Income 定息產品: including but not limited to bonds, notes, debentures, loan stocks, convertible bonds and treasury bills 包括(但不限於)債券、票據、債權證、借貸股票、可換股債券及國庫券		Not Applicable 不適用
Mutual Funds/ Unit Trusts 互惠基金/單位信託基金		Not Applicable 不適用
Exchange-traded Funds (ETF) 交易所買賣基金		Not Applicable 不適用
Real Estate Investment Trusts (REITs) 房地產投資信託		Not Applicable 不適用
Leveraged & Inverse Products (L&I) 槓桿及反向產品		
Derivatives 衍生產品: including but not limited to derivative warrants, callable bull/bear contracts (CBBC) 包括(但不限於)衍生權證、牛熊證		
Structured Products 結構性產品: including but not limited to equity-linked notes, currency linked deposit 包括(但不限於)股票掛鈎票據、貨幣掛鈎存款		
Others, please specify 其他，請說明: _____		(Subject to the asset class 視乎資產類別而定)

* Note: "Maximum Exposure" refers to the maximum limit that the Portfolio may invest in a particular asset class, not the actual asset allocation % of the Portfolio.
注意：“投資上限”指投資組合可投資於特定資產類別的上限，並非實際資產分配百分比。

6. Disclosure of Benefit and Charges 收益及收費披露**A. Benefit from Product Issuer(s) 從產品發行人所得收益**

Type of investment product 投資產品種類	Monetary benefits receivable or trading profits made by GSSL and/or its associate 實新及 / 或其有聯繫者可取得的金錢收益或可賺取的銷售利潤
Bonds 債券	1) New Issue Bonds: up to <u>3</u> % of the total nominal value; 首次發行債券：最高為總票面面值的 <u>3</u> % ; 2) Secondary Market Bonds: up to <u>5</u> % of the investment amount for trading profit; 二手市場債券：最高為有關投資額的 <u>5</u> % 為銷售利潤;
Funds 基金	1) Initial Commission Rebate: up to <u>3</u> % of the subscription amount; and/or 首次佣金回佣：最高為認購金額的 <u>3</u> % ; 及/或 2) Trailer Fee: receive from the product issuer up to <u>1</u> % of the Fund's annual management fees as ongoing commission every year throughout the term of your investment. 後續費用：從產品發行人最多收取該基金的年度管理費的 <u>1</u> %，作為實新在閣下的整段投資期間內每年持續收取的佣金。
Others (please specify): 其他 (請說明): _____	
Type of investment product 投資產品種類	Non- monetary / Non-quantifiable monetary benefit (if applicable) 非金錢收益 / 無法量化金錢收益 (如適用)

B. Management Fee and Performance Fee 管理費及表現費

<p>Type of Fee 收費類別</p>	<p>Management Fee 管理費:</p> <p>Management fee shall be accrued daily at an annual rate on the net asset value (net of all other fees and expenses) (the “NAV”) of your investment portfolio on each calendar day since inception of the portfolio, and is payable quarterly in arrears at the end of each quarter. Management fee is payable irrespective of the return of the investment and will be deducted at the end of each quarter or upon termination of this Service (whichever is earlier) by GSSL from your Account.</p> <p>管理費將以閣下投資組合成立後於每曆日根據閣下投資組合的資產淨值(已扣除所有其他費用及支出)(「資產淨值」)按年率累算，並於每曆季終結時繳付。不論投資項目的回報多寡，賣新將會按季度或於本服務終止時(以較早者為準)從閣下的帳戶中扣除管理費。</p> <p>Performance Fee 表現費:</p> <p>Performance fee is calculated on the last calendar day of the relevant performance period or the date of termination of this Service (whichever is earlier) provided that the NAV is greater than the High Water Mark. Performance fee shall be payable quarterly, semi-annually or annually (as indicated below) in arrears after the end of the relevant performance period.</p> <p>表現費指於相關表現期的最後一個曆日或本服務終止日期(以較早者為準)的資產淨值計算，前提是資產淨值大於高水位。表現費於每季度、半年度或年度的相關表現期(於下方指明)結束後支付。</p> <p>“High Water Mark” means an high water mark which is initially set at the initial investment amount. Where a Performance Fee is payable to GSSL for a performance period, the NAV on the last valuation day of that performance period will be set as the high water mark for the next performance period.</p> <p>「高水位」指初始設定為初始投資額的高水位。之後每當賣新就一個表現期收取表現費時，該表現期最後估值日的資產淨值將被設定為下一個表現期的新高水位。</p> <p>Performance fee will be adjusted proportionally after taking into account any contributions or withdrawals of the Client during any performance period.</p> <p>考慮到客戶在任何表現期內所作的任何注資或提款後，表現費將會按比例予以調整。</p> <p>Performance Period 表現期:</p> <p>(Please tick the appropriate box below 請在以下適當空格加 “✓”)</p> <p><input type="checkbox"/> Quarterly (quarter ended on the last calendar day of March, June, September and December) 季度 (截至3月、6月、9月和12月最後一個曆日的季度期)</p> <p><input type="checkbox"/> Semi-annually (semi-annual period ended on the last calendar day of June and December) 半年度 (截至6月和12月最後一個曆日的半年期)</p> <p><input type="checkbox"/> Annually (year ended on 31 December) 年度 (截至12月31日的年度期)</p>
<p>Charges 收費</p>	<p>Management Fee 管理費: Management Fee Rate (p.a) 管理費率為(年率): _____ %</p> <p>Performance Fee 表現費: Performance Fee Rate 表現費率為: _____ %</p>
<p>Calculation of Performance Fee & Illustrative Examples 表現費計算及說明例子</p>	<p>Calculation of Performance Fee 表現費計算:</p> <p>(NAV as at the last valuation date of the performance period – High water mark) × Performance fee rate (表現期內最後估值日的資產淨值 – 高水位) × 表現費率</p> <p>Examples 例子:</p> <p>Initial Investment Amount: HK\$10,000,000 初始投資款項: 10,000,000港元 Performance fee rate: 20% 表現費率: 20%</p> <p>(a) At 1st performance period end NAV: HK\$20,000,000 (with a total return of 100%) 在第一個表現期末資產淨值: 20,000,000港元 (總回報為100%)</p> <p>Performance Fee is payable as the NAV as at the end of the 1st performance period exceeds the High Water Mark (i.e. HK\$10,000,000). The amount of the Performance Fee payable shall be (HK\$20,000,000 – HK\$10,000,000) x 20% = HK\$2,000,000.</p> <p>當第一個表現期末的資產淨值高於高水位 (即: 10,000,000港元) 時，則應支付表現費。表現費金額應為 (20,000,000港元 – 10,000,000港元) x 20% = 2,000,000港元。</p> <p>(b) At 2nd performance period end NAV: HK\$ 30,000,000 (with a total return of 50%) 在第二個表現期末資產淨值: 30,000,000港元 (總回報為50%)</p> <p>Performance Fee is payable as the NAV as at the end of the 2nd performance period exceeds the 1st performance period High Water Mark (i.e. HK\$20,000,000). The amount of the Performance Fee payable shall be (HK\$30,000,000 – HK\$20,000,000) x 20% = HK\$2,000,000.</p> <p>當第二個表現期末的資產淨值高於第一個表現期高水位 (即: 20,000,000港元) 時，則應支付表現費。表現費金額應為 (30,000,000港元 – 20,000,000港元) x 20% = 2,000,000港元。</p>

- (c) At 3rd performance period end NAV: HK\$21,000,000 (with a total return of -30%)
 在第三個表現期末資產淨值：21,000,000港元（總回報為 -30%）
- No Performance Fee is payable as the NAV as at the end of the 3rd performance period compare with NAV as at the end of the 2nd year achieved a negative total return. (i.e. 3rd performance period end NAV is lower than the High Water Mark)
 由於第三個表現期末與第二個表現期末的資產淨值比較錄到總負回報(即第三個表現期末資產淨值低於高水位)，故此不用支付表現費。

7. For Having Third Party Custodian(s) 如有第三方保管人

Power of Attorney (Third Party Custodian) 授權書 (第三方保管人)

I/We the undersigned, hereby appoint Glory Sun Securities Limited (“GSSL”) to be my/our attorney (hereinafter called “Authorized Representative”) of my/our accounts as set out in the schedule below to buy and sell and to enter into sale, purchase, borrowing, lending or pledge of or otherwise dealing in securities and/or futures contracts, on margin or otherwise from time to time in its absolute discretion for my/our account and risk. 本人 / 吾等簽字人：謹此委任寶新證券有限公司（「寶新」）為本人 / 吾等於下面附錄所列出的本人 / 吾等的帳戶之代理人 / 授權人（下稱「授權代表」），全權不時為本人 / 吾等的帳戶以及由本人 / 吾等承受風險下，買賣證券及/或期貨及訂立其買賣、借貸、質押或其他處理方式，不論以保證金或其他形式。

Schedule 附錄

Name of Third Party Custodian 第三方保管人名稱	A/C Number 帳戶號碼	Restrictions/Remarks 限制 / 備註

8. Client Acknowledgement 客戶確認

- I/We hereby acknowledge and agree to all the terms and conditions of the Client Agreement (including the General Terms and Conditions and Additional Terms and Conditions on Asset Management (Section G) and/or Discretionary Trading (Section I) and any applicable Additional Terms and Conditions). 本人/吾等確認並同意遵守客戶協議的所有條款（包括一般條款及條件、資產管理(庚段)及/或全權委託交易(壬段)以及任何適用的附加條款及條件。
- I/We hereby agree that unless otherwise specified in the Investment Guidelines and Trading Restrictions, and Investment Mandate above, GSSL has, in accordance with the Additional Terms and Conditions (Asset Management and/or Discretionary Trading), the sole discretion to conduct transactions for the Discretionary /Asset Management Account (the “Account”) without restrictions on the investment choices, investment holding period, portfolio concentration, borrowing and use of derivatives, provided that GSSL shall, on a best effort basis, observe the trading restrictions set out herein above. I/We hereby expressly agree and acknowledge that GSSL and the Authorized Representative shall not be liable for any losses which may arise from the trading transactions in the Account and that I/we shall indemnify and keep GSSL and the Authorized Representative fully indemnified at all times against all actions, proceedings, claims, loss, damage, costs and expenses which may be brought against GSSL and/or the Authorized Representative. 本人 / 吾等謹此同意除非在上述投資指引及交易限制及投資委託另有指明外，寶新將可根據附加條款及條件（資產管理及/或全權委託交易），全權酌情為本全權委託/資產管理帳戶（「帳戶」）進行交易，而在投資選擇、投資持有期間、投資組合集中度、借貸及衍生工具運用等方面不受限制，唯寶新須盡最大努力遵守上述交易限制。本人 / 吾等謹此同意及承認寶新及授權代表不需為本帳戶之交易引致的任何損失負責，以及就寶新及 / 或授權代表招致 / 承擔的訴訟、法律程序、申索、損失、損害、費用及開支，彌償他們及讓他們獲得彌償。
- I/We hereby understand and acknowledge that:-
 (For New Issue Bonds /Funds / Structured Products) GSSL is NOT an independent intermediary because: (i) GSSL receives fees, commissions, or other monetary benefits from other parties (which may include product issuers) in relation to our distribution of investment products to the Client. For details, Client should refer to GSSL’s disclosure on monetary benefits which is set out in Section 7 of this Application and GSSL is required to deliver to Client prior to or at the point of entering into any transaction in investment products; and/or (ii) GSSL receives non-monetary benefits from other parties, or have close links or other legal or economic relationships with issuers of products that we may distribute to the Client.
 (For Secondary Market Bonds) GSSL is an independent intermediary because: (i) GSSL does not receive fees, commissions, or any other monetary benefits, provided by any party in relation to our distribution of any investment product to the Client; and (ii) GSSL does not have any close links or other legal or economic relationships with product issuers, or receive any non-monetary benefits from any party, which are likely to impair our independence to favour any particular investment product, any class of investment products or any product issuer.
 本人/吾等茲明白並承認:-
 (適合於首次發行債券 / 基金 / 結構性產品) 寶新並非獨立的中間人，理由如下：(i)寶新有收取由其他人士（可能包括產品發行人）就我們向閣下分銷投資產品而提供的費用、佣金或其他金錢收益。詳情請參閱本申請表第7部分以及我們按規定在訂立任何投資產品交易前或在訂立任何投資產品交易時須向閣下提供的金錢收益披露；及 / 或 (ii)寶新有收取由其他人士提供的非金錢收益，或與我們可能向閣下分銷的產品的發行人有緊密聯繫或其他法律或經濟關係。
 (適用於二手市場債券) 寶新是獨立的中間人，理由如下：(i)寶新沒有收取由其他人士就寶新向閣下分銷任何投資產品而提供的費用、佣金或任何其他金錢收益；及(ii)寶新與產品發行人沒有任何緊密聯繫或其他法律或經濟關係，或沒有從任何人士取得任何非金錢收益，而這些聯繫、關係或收益可能損害我們的獨立性，使我們偏向任何特定投資產品、任何投資產品類別或任何產品發行人。

4. (Applicable to Asset Management Service) I/We agree to pay such fees and charges as set out in Section 6B "Management Fee and Performance Fee" ("Fee Schedule") or as GSSL may from time to time prescribe. I/We further agree to the deduction by GSSL from my/our Account such fees and charges as are payable to GSSL as set out in the Fee Schedule.
(資產管理服務適用) 本人/吾等同意按第6B部分 "管理費及表現費" 之收費 (「收費表」) 之規定或實新可不時修訂之規定支付該等服務費。本人/吾等同意由實新從本人/吾等的帳戶扣除根據收費表之應付予實新的服務費。
5. (If the Client has third party custodian) I/We jointly and severally hereby ratify, confirm and agree at all times to ratify and confirm all act(s) and thing(s) GSSL and the Authorized Representative acting on its behalf, shall lawfully do or cause to be done under the Power of Attorney including anything which shall be done before (i) the revocation of the Power of Attorney by my/our death/dissolution or insolvency or in any other manner and (ii) notice of such revocation reaching the Authorized Representative. Any act or thing done after the revocation of the Power of Attorney by my/our death/dissolution or insolvency or otherwise shall be valid unless notice in writing of its revocation has been received by GSSL and/or the Authorized Representative.
(如客戶有第三方保管人) 本人 / 吾等共同及各別謹追認及確認, 以及同意在整段期間追認及確認實新及授權代表根據本授權書可合法進行或安排進行的一切行為與事項, 包括(i)在此授權書因本人 / 吾等死亡 / 解散、破產或其他方式而撤銷, 並且 (ii) 在撤銷通知送達授權代表之前, 已進行的任何事項。任何在此授權書因本人 / 吾等死亡 / 解散、破產或其他方式而撤銷後進行的事項, 均屬有效, 除非實新及/或授權代表已收到撤銷的書面通知。
6. I/We hereby acknowledge and confirm that the Risk Disclosure Statement (Securities / Futures Contracts / Asset Management / Additional Risk Disclosure Statement for Financial Instruments) have been provided and explained to me/us in a language (English or Chinese) of my/our choice and I/we have been invited to read them carefully, ask questions and seek independent advice if I/we so wish. I/We also confirm that I/we have retained a copy of the above for my/our reference.
本人 / 吾等謹此承認並確定已獲得按照本人 / 吾等所選語言 (中文或英文) 的風險披露聲明 (證券/期貨/資產管理/金融商品之額外風險聲明) 並獲得其解釋, 以及本人 / 吾等已獲邀請細閱此等文件, 提出問題及如有意願, 徵求獨立意見。本人 / 吾等確認已經保留此等文件副本乙份, 以作備考之用。
7. I/We declare that all information in this Application is accurate and complete and I/we undertake to notify GSSL as soon as possible of any changes to the information contained in this Application.
本人/吾等聲明本申請所載資料均屬正確無訛且無缺漏及本人/吾等承諾如本申請內所載之資料有任何更改, 將盡早通知實新。

Client Signature & Chop (if applicable):

客戶簽署及印章 (如適用)

S.V. by:

Date:

日期

8. Declaration by the Representative 持牌代表聲明

I, _____ (name of representative) with SFC CE No. _____, have explained the essential contents in this section in a language (English or Chinese) which _____ (client name) chooses and understands.

本人 _____ (持牌代表姓名) 在證監會之中央編號為 _____, 以客戶選擇及明白的語言 (中文或英文), 向 _____ (客戶姓名) 解釋本部分之重要內容。

Signature 簽署: _____

Date 日期: _____

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Input by 輸入:	Approved by 批核:	Approved by 批核:
Name 姓名:	Name 姓名:	Name 姓名:
Date 日期:	Date 日期:	Date 日期:

Ref: Discretionary/Asset Management Sev. May 2024